

## Client Relationship Summary (Form CRS) – June 29, 2020

Capital West Partners, LLC (“CWP,” “we,” “us,” or “our”) is registered with the U.S. Securities and Exchange Commission as an investment adviser. Investment advisory and brokerage services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about investment advisers, broker-dealers, and investing.

### What investment services and advice can you provide me?

We offer investment advisory services to retail investors, including customized investment management and consulting services. We offer our investment management service on both a discretionary and non-discretionary basis. When you grant us discretion, we execute trades on your behalf without needing additional consent to do so. However, our discretion is limited by your investment objectives and constraints and guided by an agreed upon asset allocation plan. When you choose non-discretionary management, we give you advice and you decide whether or not to follow our recommendations. Our investment management services include the design, implementation, and continued monitoring of your account. We generally require a minimum account size of \$100,000 to establish an investment management relationship.

Our consulting services may be provided as financial planning, investment advice when you do not meet our minimum requirement for investment management services, or other consulting arrangements you may request. We do not provide continuous monitoring of accounts under consulting services arrangements.

**FOR ADDITIONAL INFORMATION**, please see our Form ADV Part 2A, Item 4 regarding the advisory services we offer and any limitations on that advice and Item 7 for the types of clients we serve at <https://adviserinfo.sec.gov/firm/summary/130762>.

*Ask us: Given my financial situation, should I choose an investment advisory service? If so, why?*

*Ask us: How will you choose investments to recommend to me?*

*Ask us: What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

### What fees will I pay?

We charge advisory fees for investment management services based on a percentage of your total assets under management or in limited circumstances as a flat fee. We bill our fees quarterly in arrears. When you pay an asset-based fee, the more assets in your account the more you will pay in fees, so we could have an incentive for you to increase the assets in your account.

Our consulting services are charged at an hourly rate, which may be negotiable depending on the nature and complexity of your circumstances. We bill hourly fees upon completion of the services, based on the time spent for the project. In certain circumstances we may charge a flat fee rather than hourly fees as negotiated with you.

Our fees do not include custodian fees. You pay all brokerage commissions, stock transfer fees, foreign exchange and settlement fees, margin costs, and/or other similar charges incurred in connection with transactions in your accounts, from the assets in your account. In addition, any mutual fund shares held in your account may be subject to deferred sales charges, 12b-1 fees, early redemption fees, and other fund-related expenses. These charges are in addition to the fees you pay to us.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

**FOR ADDITIONAL INFORMATION ABOUT OUR FEES**, please see our Form ADV Part 2A, Item 5 at <https://adviserinfo.sec.gov/firm/summary/130762>.

*Ask us: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

### **What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

**When we act as your investment adviser**, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means:

We participate in TD Ameritrade's institutional customer program and we may recommend TD Ameritrade to clients for custody and brokerage services. Some of the products and services made available by TD Ameritrade through the program may benefit us but may not directly benefit your accounts. You should be aware that the receipt of economic benefits by us or our personnel in and of itself creates a potential conflict of interest and may indirectly influence our choice of TD Ameritrade for custody and brokerage services.

**FOR ADDITIONAL INFORMATION ABOUT OUR POTENTIAL CONFLICTS OF INTEREST AND OUR CODE OF ETHICS TO MEET OUR FIDUCIARY DUTY TO OUR CLIENTS**, please see our Form ADV 2A, Items 11, and 14 at <https://adviserinfo.sec.gov/firm/summary/130762>.

*Ask us: How might your conflicts of interest affect me, and how will you address them?*

### **How do your financial professionals make money?**

CWP's managers are compensated only from the profits of the firm. Other than the obvious incentive to gather, grow, and retain your assets, we do not believe we have any other conflicts of interests in connection with our compensation.

### **Do you or your financial professionals have legal or disciplinary history?**

Yes. Please go to [Investor.gov/CRS](http://Investor.gov/CRS) for a free and simple tool to research us and our financial professionals.

*Ask us: As a financial professional, do you have any disciplinary history? For what type of conduct?*

### **Additional Information**

**ADDITIONAL INFORMATION ABOUT US**, our fiduciary duty to our clients and the services we offer is provided in Form ADV Part 2A at <https://adviserinfo.sec.gov/firm/summary/130762>. You can request a copy of Form CRS and up-to-date information about us by calling 520.296.9803.

*Ask us: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*